



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/783,785	02/20/2004	Thomas Richardson	03-2049 /LSI.94US01	6953
24319	7590	10/07/2008		
LSI CORPORATION 1621 BARBER LANE MS: D-105 MILPITAS, CA 95035			EXAMINER HASSAN, AURANGZEB	
			ART UNIT 2182	PAPER NUMBER
			MAIL DATE 10/07/2008	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/783,785

**Applicant(s)**

RICHARDSON ET AL.

**Examiner**

AURANGZEB HASSAN

**Art Unit**

2182

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 23 June 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-946)
- 3) ☐ Information Disclosure Statement(s) (PTO/SF/ICE)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

2. Claims 12 – 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ninomiya (US Patent Number 5,809,330) in view of Crippen et al. (US Publication Number 2004/0100765 hereinafter "Crippen").

3. As per claim 12, Ninomiya teaches a method for determining the function of a circuit board (expansion unit, element 2, figure 1) disposed in a slot (detection via connectors, element 26 and 27, figure 1) in an enclosure comprising the steps of:

displaying an identifying characteristic of the slot inside of the enclosure (expansion connector detecting various possible characteristics in the form of multitude of expansion devices, column 7, lines 53 – 58, displaying the identifying characteristic as per the specification 0020 is represented by an obstruction of the light by the circuit board which is analogous to the obstruction of the photo-sensors as seen in column 8, lines 4 - 20, and identification is also capable through detection of a change in voltage to certain pins of the connector, column 8, lines 31 – 34, the identifying characteristic in itself is a sensor that can determine a change in its state);

detecting the circuit board (upon connection routed to system bus for characteristics further determined by photo-sensors, column 7, lines 66-67, column 8, lines 1 – 10, the photo-sensors are the initiator in the process to determine the characteristic of the inserted option card, see further explanation for claim 1); and directing the circuit board to perform the function associated of the slot (CPU enables connectors and determining of characteristics between expansion unit and main unit, element 11, figure 1).

Ninomiya does not explicitly disclose interpreting the characteristic of the circuit board and performing a function accordingly.

Crippen teaches a method of detecting the displayed characteristic on the circuit board (active management module detects the presence, quantity and type of the board, paragraph [0030]);

interpreting the detected characteristic on the circuit board (determining the type of board is the interpreting of the determined characteristics, paragraph 0030 - 0031, figure 2); and

directing the circuit board to perform the function associated with the interpreted characteristic of the slot (figure 5 shows the function processed which was determined on the characteristic of the board).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the teachings of Ninomiya with that of Crippen. One of ordinary skill would make such modification in order to allow for authentication and appropriate function of a disposed circuit (paragraph [0028]).

The examiner notes that the determination of the function of a circuit board is not limited to one step of the photo-sensor detecting presence of an inserted option card. Once a card is inserted the apparatus of Ninomiya has a photo-sensors 30 and 31 figure 1, in conjunction with photo-emitters which generates card detection signals DTE1 and DTE2, as can be seen from the citation from the original office action. Upon generation of the DTE signals the process corresponds with address decoders that receive and decode the I/O address supplied to the system (column 8, lines 35 – 41) and the characteristics can be matched as seen in figure 4 and further can be configured via the I/O address map to determine the characteristic functionality of the option card seen in figure 5. Thus the examiner reasserts that the originally cited photo-sensor represents the detection step of an entire process of determining the characteristics and Ninomiya teaches the entire analogous process. (Please refer to examiners rebuttal in response to arguments for further analysis)

Furthermore the displaying characteristic as best understood by the current application is a process to detect a presence of an circuit card characterized by the insertion of the card (paragraph 0020) which is analogous to Ninomiya including photo-sensors detecting the particular presence of and option card characterized by the insertion of a particular card as seen in column 7, lines 46 – 58.

4. As per claim 13, Ninomiya teaches a method wherein said means located within

said enclosure for displaying a characteristic of the slot comprises means for generating at least one signal, and at least one tab disposed within the interior of the slot capable of substantially reducing the at least one signal (light from photo emitter to photoreceptor is considered at least one signal generated, column 8, lines 7 – 10).

5. As per claim 14, Ninomiya teaches a method wherein said means disposed on said circuit board for detecting the characteristic of the slot comprises means for detecting the at least one signal (photo sensors, elements 30-31, figure 1).

6. As per claim 15, Ninomiya teaches a method wherein said means for generating at least one signal comprises a source of light (photo emitter, column 8, lines 7 – 10), and wherein said means for detecting the characteristic of the slot comprises at least one light detector (photo-sensor, element 30, figure 1) adapted for detecting light generated from said source of light.

7. As per claim 16, Ninomiya teaches a method wherein said means displaying a characteristic of the slot comprises at least one source of light; and said means for detecting the characteristic of said slot comprises at least one light detector adapted for detecting light generated by said at least one source of light, whereby the pattern characteristic of the slot is reproduced by said at least one light detector.

8. As per claim 17 a method wherein said means for detecting the characteristic of

the slot comprises at least one microswitch (microswitch, column 8, lines 33 – 35) and said means for displaying a characteristic of the slot comprises at least one projection positioned on a wall of said enclosure disposed in a pattern characteristic of the slot and adapted to actuate one of said at least one microswitch when said circuit board is inserted into the slot, such that the characteristic of the slot is sensed by said at least one microswitch (mechanically detected by means of microswitch through detection of a change in voltage to certain pins of the expansion connector, column 8, lines 27 - 35).

**9. Claims 1 – 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ninomiya in view of Crippen further in view of Lee (US Patent Number 5,748,912).**

10. The examiner has provided additional citations and explanation in order to better understand the rejection of claim 1 based on the originally cited prior art, Ninomiya and Crippen.

11. As per claim 1, Ninomiya/Crippen teaches an apparatus for determining the function of a circuit board (expansion unit, element 2, figure 1) disposed in a slot (detection via connectors, element 26 and 27, figure 1) in an enclosure and in electrical communication with said enclosure (laptop-type environment, figure 1), which comprises in combination: (a) means located within said enclosure for displaying an identifying characteristic of the slot (expansion connector detecting various possible

characteristics in the form of multitude of expansion devices, column 7, lines 53 – 58); (b) means disposed on said circuit board for detecting the characteristic (upon connection routed to system bus for characteristics further determined by photo-sensors, column 7, lines 66-67, column 8, lines 1 – 10, the photo-sensors are the initiator in the process to determine the characteristic of the inserted option card); and (c) a processor for interpreting the detected characteristic and for directing said circuit board to perform the function associated therewith (CPU enables connectors and determining of characteristics between expansion unit and main unit, element 11, figure 1).

Ninomiya/Crippen does not disclose a processor disposed on said circuit board.

Lee analogously teaches an option card (figure 2b) with a processor disposed on said circuit board (CPU 402, figure 4a).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to insert the option card of Lee into the option card slot of Ninomiya/Crippen. One of ordinary skill in the art would be motivated to make such modifications in order to allow for an efficient and flexible means for users to replace a processor in a unit without exorbitant costs (column 2, lines 1 – 10).

The examiner notes that the determination of the function of a circuit board is not limited to one step of the photo-sensor detecting presence of an inserted option card. Once a card is inserted the apparatus of Ninomiya has a photo-sensors 30 and 31 figure 1, in conjunction with photo-emitters which generates card detection signals DTE1 and

DTE2, as can be seen from the citation from the original office action. Upon generation of the DTE signals the process corresponds with address decoders that receive and decode the I/O address supplied to the system (column 8, lines 35 – 41) and the characteristics can be matched as seen in figure 4 and further can be configured via the I/O address map to determine the characteristic functionality of the option card seen in figure 5. Thus the examiner reasserts that the originally cited photo-sensor represents the detection step of an entire process of determining the characteristics and Ninomiya teaches the entire analogous process. (Please refer to examiners rebuttal in response to arguments for further analysis)

12. Ninomiya/Crippen modified by the teachings of Lee as applied in claim 1 above as per claim 2, Ninomiya teaches the apparatus wherein said means located within said enclosure for displaying a characteristic of the slot comprises means for generating at least one signal, and at least one tab disposed within the interior of the slot capable of substantially reducing the at least one signal (light from photo emitter to photoreceptor is considered at least one signal generated, column 8, lines 7 – 10).

13. Ninomiya/Crippen modified by the teachings of Lee as applied in claim 1 above as per claim 3, Ninomiya teaches an apparatus wherein said means disposed on said circuit board for detecting the characteristic of the slot comprises means for detecting the at least one signal (photo sensors, elements 30-31, figure 1).

14. Ninomiya/Crippen modified by the teachings of Lee as applied in claim 1 above as per claim 4, Ninomiya teaches an apparatus wherein said means for generating at least one signal comprises a source of light (photo emitter, column 8, lines 7 – 10), and wherein said means for detecting the characteristic of the slot comprises at least one light detector (photo-sensor, element 30, figure 1) adapted for detecting light generated from said source of light.

15. Ninomiya/Crippen modified by the teachings of Lee as applied in claim 1 above as per claim 5, Ninomiya teaches an apparatus wherein said at least one tab is disposed in a pattern characteristic of the slot, and said at least one light detector, reproduces the pattern characteristic of the slot (indication of the option card generated based on signal DTE2, column 8, lines 21 – 27).

16. Ninomiya/Crippen modified by the teachings of Lee as applied in claim 1 above as per claim 6, Ninomiya teaches an apparatus wherein the light generated from said source of light is substantially reduced by said at least one tab when said at least one tab is disposed between said source of light and said at least one light detector (passage of light block upon insertion of option card substantially reducing the light generated from the source in reference to the opposing photo-sensor, column 8, lines 21 – 24).

17. Ninomiya/Crippen modified by the teachings of Lee as applied in claim 1 above

as per claims 7 and 9, Ninomiya teaches an apparatus wherein said at least one source of light comprises at least one light emitting diode (photo-emitter, column 8, lines 7 – 10) and said at least one light detector comprises a charge-coupled detector (photo-receptor, column 8, lines 10 – 13).

18. Ninomiya/Crippen modified by the teachings of Lee as applied in claim 1 above as per claim 8, Ninomiya teaches an apparatus wherein said means displaying a characteristic of the slot comprises at least one source of light; and said means for detecting the characteristic of said slot comprises at least one light detector adapted for detecting light generated by said at least one source of light, whereby the pattern characteristic of the slot is reproduced by said at least one light detector.

19. Ninomiya/Crippen modified by the teachings of Lee as applied in claim 1 above as per claim 10 an apparatus wherein said means for detecting the characteristic of the slot comprises at least one microswitch (microswitch, column 8, lines 33 – 35) in electrical communication with said processor, and said means for displaying a characteristic of the slot comprises at least one projection positioned on a wall of said enclosure disposed in a pattern characteristic of the slot and adapted to actuate one of said at least one microswitch when said circuit board is inserted into the slot, such that the characteristic of the slot is sensed by said at least one microswitch (mechanically detected by means of microswitch through detection of a change in voltage to certain

pins of the expansion connector, column 8, lines 27 - 35).

20. Claims 11 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ninomiya in view of Crippen in view of Lee further in view of Pope et al. (US Patent Number 4,781,066).

21. Ninomiya modified by the teachings of Lee as applied in claim 1 above as per claims 11 and 18, fails to teach and apparatus wherein said means disposed on said circuit board for detecting the characteristic of the slot comprises a Hall-effect apparatus.

Pope et al. analogously teaches an apparatus wherein said means disposed on said circuit board for detecting the characteristic of the slot comprises a Hall-effect apparatus (element 75, figure 6, column 6, lines 36 – 40).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the combination of Ninomiya and Lee with the above teaches of Pope et al. One of ordinary skill would have been motivated to make such modification in order to have a detection system that permits enhanced sensitivity and noise immunity in the system (column 7, lines 7 – 10).

### ***Response to Arguments***

22. Applicant's arguments filed 6/23/2008 have been fully considered but they are not persuasive. The Applicant argues:

1) Prior art does not teach the binary representation for each slot in an enclosure is chosen such that when a CRU is placed in the slot a sensing apparatus determines the configuration of the tabs and reports the configuration to circuits or processors located on the CRU that determine the function of the CRU from this information.

2) Prior art does not change the function of the blade.

3) Prior art does not determine the function of the module.

Examiner notes elaboration of claim 12 in order to better understand the interpretation of the claim limitations.

23. As per argument 1, the Examiner respectfully disagrees. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., a binary representation of the tabs in various configurations informing of various functionality) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). The claims do not necessitate the binary representation of the tabs. At best claim 2 discloses that there are tabs present but the claims still remain silent that there are tabs that can be arranged in a certain manner in which a slot in an enclosure can detect a binary pattern and explicitly change the function of the inserted circuit board based upon a different arrangement of tabs.

24. As per argument 2, the Examiner respectfully disagrees. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., changing the function of the blade or circuit board) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). The claims only necessitate performing a function and can be interpreted as performing only one function based upon a mere detection of presence. The claims do not necessitate an arrangement of tabs that can allow for a variance in the functionality of the apparatus let alone the circuit board itself.

25. As per argument 3, the Examiner respectfully disagrees. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., determining the function of the circuit board can change the function of the circuit board) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). The claims only necessitate determining the function of a circuit board based upon a characteristic. There are no limitations in the claims that recite the determining is any more than a mere detection of presence by physical insertion and the characteristic of insertion being detected thereafter establishing communication with the circuit board to perform the communicated

function. The claims do not necessitate changing the function of the circuit board based upon a varying physical arrangement of tabs.

### ***Conclusion***

**26. THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to AURANGZEB HASSAN whose telephone number is (571)272-8625. The examiner can normally be reached on Monday - Friday 9 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq Hafiz can be reached on (571)272-6729. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2182

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AH

/Ilwoo Park/  
Primary Examiner, Art Unit 2182  
10/1/2008